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Remunerated Financial Investment Consultant - register for the examination

In order to obtain a licence as a financial investment intermediary or remuneration financial investment advisor, you must prove that you have the required expertise. Proven expertise is valid for life.

If you wish to broker financial investments on a commercial basis against commission from the issuer, you must prove your expertise in order to obtain the permit. You can do this by taking the examination at the ICC. Depending on the product category, the examination is divided into three areas:

- Open financial investments
- Closed financial investments
- Investments in assets

The content of the examination for financial investment brokers corresponds to the examination for remuneration financial investment advisors.

Theoretical and practical part of the examination.

The examination consists of a written and a practical part. They must be taken one after the other.

- In the written part of the exam you have to prove the necessary legal and technical knowledge, for example regarding consumer rights and technical terms.
- In the practical part, you have to conduct a simulated customer counselling interview.
- If you have completed vocational training or a degree in banking and finance, this may be recognised instead of the expert knowledge examination.

Procedure workflow

1. You register for the examination.
2. The ICC informs you in good in advance of the examination about the details of the examination procedure, place and time.
3. You first take the written examination.
4. If you have passed the written examination, the practical examination will take place.
5. After successful completion of the examination, you will receive a certificate of competence.

Prerequisites

- **In-person visit**
You must appear in person at the examinations.
- **Observe registration deadline**
Registration deadline is usually 28 days before the respective examination date

Documents required

- **Registration for the examination**
Only possible online
You must register for this on the IHK Berlin registration portal.
- **ID card for identification at the examination**

Fees

EUR 205.00 to EUR 395.00 depending on the type and scope of the examination.

Legal basis

- **Trade regulations (GewO) § 34f sec. 2 No. 4**
(https://www.gesetze-im-internet.de/gewo/_34f.html)
- **Ordinance on the Brokerage of Financial Investments (Finanzanlagenvermittlungsverordnung (FinVermV)) §§ 1-5**
(<https://www.gesetze-im-internet.de/finvermv/BJNR100610012.html#BJNR100610012BJNG000100000>)
- **Schedule of fees of the IHK (Section C.7)**
(<https://www.ihk-berlin.de/blueprint/servlet/resource/blob/2256952/86c54be06ccb210e42671ff7d6ea739c/ihk-gebuehrenordnung-data.pdf>)

Average time to process request

You will normally get the certificate several days after you have passed the exam.

More information

- **Overview of the Chambers of Industry and Commerce in Germany**
(<https://www.ihk.de/?fdialog=ihk-finder%2F%2F>)
- **List of professional qualifications recognised as expertise instead of the examination**
(https://www.gesetze-im-internet.de/versvermv_2018/_5.html)
- **Information on the examination from DICC**
(<https://www.dihk.de/de/themen-und-positionen/wirtschaftspolitik/gruendung-und-nachfolge-unternehmensfinanzierung/sach-und-fachkundepruefungen-im-ueberblick-11732>)
- **Information on the ICC Berlin examination**
(<https://www.ihk-berlin.de/pruefungen-lehrgaenge/pruefungen/sach-und-fachkundepruefung/finanzanlagenvermittler/finanzanlagenvermittler2-3890084>)
- **Leaflet of the ICC Berlin**
(<https://www.ihk-berlin.de/blueprint/servlet/resource/blob/3748906/0671ecfe37eb9b1241c34edc5329ba34/merkblatt-sachkundepruefung-finanzanlagenvermittler-data.pdf>)

Link to online processing

<https://www.finanzanlagenvermittler-ihk.de/IHK/Berlin/Home/Info>